Image Information Metrics in Imatest

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The market for cameras that produce images for Machine vision (MV) and Artificial Intelligence (AI), in contrast to pictorial images for human vision, is steadily growing. Applications include automotive (driver assistance and autonomous vehicles), robotics, security, and medical imaging systems.

Two questions arise when designing camera systems for such applications.

- 1. How best to select (or qualify) cameras for MV/AI applications?
- 2. What image processing (ISP or filtering) is optimal?

To answer these questions, we must go beyond standard measurements of sharpness (MTF) and noise and apply metrics derived from <u>information</u> <u>theory</u>, including information capacity and related metrics for object and edge detection.

These metrics are important because Object Recognition (OR), MV, and Al algorithms operate on *information*, not *pixels*, making them far better predictors of system performance than MTF or noise.

Imatest has developed a highly convenient method for measuring information capacity and related metrics from the most widely used ISO standard resolution test pattern — the slanted edge. We describe how the new metrics can be used to select (or qualify) cameras and determine the optimum Image Signal Processing (ISP) for Object Recognition, which is likely to improve the performance of MV and AI algorithms.

This white paper is a shortened and simplified version of the highly technical document, **"Image Information Metrics and Applications:**

Reference," linked from

www.imatest.com/solutions/image-information-metrics.

This document describes features of *Imatest 24.1*, which will be available in the *Imatest 24.1 Pilot program* until the spring 2024 release.

Table of Contents

Image Information Metrics in Imatest	1
Table of Contents	2
Introduction	
Information	
The slanted edge	4
The Edge Variance method	4
Signal and noise results	4
Calculating information capacity ${\cal C}$ from $\mu_s(x)$ and $\sigma_s(x)$	5
Signal power S	5
Noise power N	5
Bandwidth W	6
Combining $S_{avg}(f)$, N, and W to obtain C	6
Maximum information capacity C_{max} — a more consistent metric	6
Consistency of C _{max}	7
Total information capacity	7
Signal averaging	7
Some key results of the Edge Variance method	8
C ₄ 4:1 slanted edge	9
Sharpening	9
Edge location variance (or standard deviation)	10
Summary of the Edge Variance method	10
The Noise Image method of calculating information capacity-related metrics	10
Noise Voltage or Power Spectrum (NPS)	12
Noise Equivalent Quanta (<i>NEQ</i>)	12
Information capacity from NEQ, C_{NEQ}	12
Ideal Observer SNR (<i>SNRi</i>)	13
SNRi displayed in dB per pixel ²	

Object visibility
Edge Signal-to-Noise Ratio (<i>Edge SNRi</i>)15
Line Spread Function (LSF) doublet results15
Summary of the Noise Image method16
Image Signal Processing (ISP)16
Pre-filtering: effects of ISP filtering16
Matched filter
Exposure sensitivity
Summary
References
Appendix I. Information theory background
What is information?
Channel capacity
Appendix 2. Obtaining Results with Imatest
Setting Channel capacity calculations,
1. Edge & Info Capacity noise
2. Information-related: NEQ, SNRi,22
Appendix 3. Filtering images with the Imatest Image Processing module
Appendix 4. Correlation between information capacity and object/edge detection metrics

Introduction

Traditional image quality measurements are based on several image quality factors, including sharpness, noise, dynamic range, optical distortion, tonal and color response, and spatial uniformity.

These measurements have proven useful for human vision, where tradeoffs are often required. For example, sharpening makes fine features more visible, but it increases noise. Choices are often based on experience; they come down to what looks best, i.e., what has the most pleasing appearance for the application.

Object Recognition (OR), Machine Vision (MV), and Artificial Intelligence (AI) systems are different. System performance is not dependent on image appearance. A more objective metric is required.

Information

Information is a metric that quantifies how much is learned from a measurement. For example, an individual pixel in a blurred image is highly correlated with its neighbors, so little is learned from its contents. But if the image is sharp, it is weakly correlated, and much more can be learned from its contents, i.e., it contains more information.

The concept of information dates from 1948 and 49 in two celebrated papers by <u>Claude Shannon [1],[2]</u>. <u>Appendix I</u> contains a brief introduction to information theory. Earlier work on measuring information capacity from Siemens Star images [3] will only be briefly referenced in this document.

In electronic communications, information capacity is the maximum rate that information can be transmitted through a channel without error. In images, it is the maximum amount of information that a pixel or image can hold.

The slanted edge

Imatest calculates information capacity from the *slanted edge*, which is a key part of the ISO 12233 standard, "Photography — Electronic still picture imaging — Resolution and spatial frequency responses" [4], is the most convenient and widely used resolution test pattern. It is highly efficient in its use of space (with multiple edges, sharpness can be mapped over the image surface), and calculations are very fast.



Imatest offers several charts with multiple edges that can be automatically detected and rapidly analyzed. Some of the charts offer additional color, tone, noise, and distortion analysis.

The ISO 12233 algorithm linearizes the image, finds the center of each scan line, fits a curve to the centers, then uses the curve to add each appropriately shifted scan line to one of four bins. The bins are combined to form a 4× oversampled averaged edge, which is used to calculate MTF.

The Edge Variance method

The Edge Variance method uses an overlooked capability of the ISO 12233 slanted-edge binning algorithm to calculate information capacity.

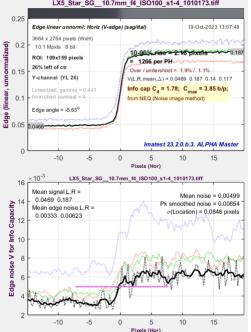
By summing of the squares of each scan line, $\rho_s(x) = \frac{1}{L} \sum_{l=0}^{L-1} y_l^2(x)$, we calculate the edge variance (the spatially dependent noise power) $\sigma_s^2(x) = N(x)$ and noise amplitude $\sigma_s(x)$ in addition to the mean, $\mu_s(x) = \frac{1}{L} \sum_{l=0}^{L-1} y_l(x)$.

$$\sigma_s^2(x) = N(x) = \frac{1}{L} \sum_{l=0}^{L-1} (y_l(x) - \mu_s(x))^2 = \rho_s(x) - \mu_s^2(x)$$

Signal and noise results

The figure on the right shows the results of the ISO 12233 calculation, including the Edge Variance method of measuring spatially dependent noise.

 Upper plot: the average 4× oversampled edge, μ_s(x). The thick black line is the luminance channel. Information capacities are shown with a yellow background.



Edge amplitude and spatially dependent noise, calculated by the Edge Variance method

• Lower plot: the noise amplitude (voltage), $\sigma_s(x)$. The thick black line is the smoothed luminance channel. $\sigma_s(x)$ plot is a new measurement: spatially dependent noise was previously difficult to observe.

This white paper contains an abbreviated description of the calculations. The full description, with all the equations, is in "Image Information Metrics and Applications: Reference," linked from <u>www.imatest.com/solutions/image-information-metrics</u>.

Calculating information capacity *C* from $\mu_s(x)$ and $\sigma_s(x)$

The next step is to calculate the information capacity, C, typically in units of bits per pixel, by entering appropriate values of the signal and noise power, S(f) and N(f), into the <u>Shannon-Hartley equation</u>.

$$C = \int_0^W \log_2\left(1 + \frac{S(f)}{N(f)}\right) df$$

S(f) and N(f) are frequency-dependent signal and noise power, and W is the bandwidth, which is always equal to 0.5 cycles/pixel (the Nyquist frequency). Frequency-dependence is entered into S(f) using MTF(f) (described below).

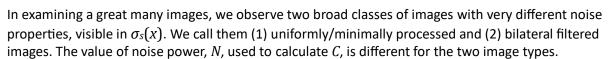
Signal power S

Assuming that the signal is uniformly distributed over the V_{p-p} range, the *average* frequencydependent signal power, $S_{avg}(f)$, to be entered into the <u>Shannon-Hartley equation</u> is

$$S_{avg}(f) = \left(V_{p-p} MTF(f)\right)^2 / 12$$

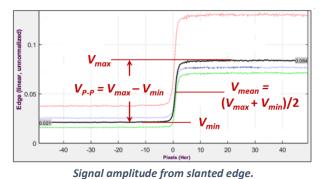
Noise power N

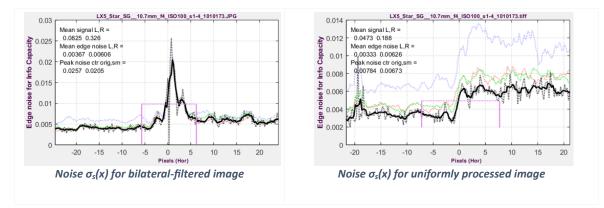
Noise power N has the same units as signal power S; hence S/N is dimensionless.



- 1. Uniformly/minimally processed images use the mean value of $\sigma_{s^2}(x)$ for calculating *C*. They are preferred when available, and should *always* be used for evaluating cameras for MV/AI systems.
- 2. Bilateral-filtered images, which include most in-camera JPEGs, are sharpened near edges and often noise-reduced (lowpass filtered) away from the peaks. They can be identified by a peak in the spatially dependent noise. The smoothed peak noise is used for calculating *C*.

Special care is required when measuring C with bilateral-filtered images because the noise reduction can increase the measured value of C while *reducing* information. That is why the noise at the peak (where noise reduction is least likely to be applied) is used. The strong peak (below, left) is a signature of bilateral filtering.





Bandwidth W

Bandwidth W is *always* 0.5 cycles/pixel (the Nyquist frequency). Signals above Nyquist do not contribute to the information content; they can reduce it by causing aliasing — spurious low-frequency signals like Moiré that can interfere with the true image. Frequency-dependence comes from MTF(f).

Combining S_{avg}(f), N, and W to obtain C

 $S_{avg}(f)$, N, and W are entered into the Shannon-Hartley equation.

$$C = \int_0^{0.5} \log_2\left(1 + \frac{S_{avg}(f)}{N(f)}\right) df \approx \sum_{i=0}^{0.5/\Delta f} \log_2\left(1 + \frac{S_{avg}(i\Delta f)}{N(f)}\right) \Delta f$$

C is measured with relatively low contrast test charts to minimize errors from saturation to ensure that the camera is operating in its linear region. For most of our work, we use charts with a 4:1 contrast ratio, following the ISO 12233 standard [4]. Since V_{P-P} is directly proportional to chart contrast, we label *C* according to the contrast ratio: C_n for n:1 contrast ratio. We use C_4 throughout this document.

 C_4 is highly dependent on the exposure level, and does *not* represent the maximum information capacity of the camera.

Maximum information capacity C_{max} — a more consistent metric

 C_4 is strongly dependent on exposure because (1) voltage range $\Delta V = V_{p-p}$ is a strong function of exposure, and (2) noise power N is also a function of exposure (derived from image sensor properties).

We have developed a metric for maximum information capacity: C_{max} , that is nearly independent of exposure. It is obtained in two steps.

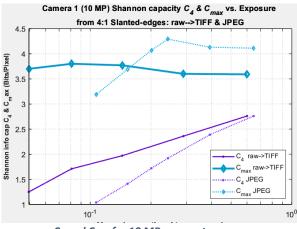
Step1: Replace the measured peak-to-peak voltage range V_{p-p} with the maximum allowable value, $V_{p-p_max} = 1$.

Step 2: Replace the measured noise power N with N_{mean} , the mean of N over the range $0 \le V \le 1$ (where 1 is the maximum allowable normalized signal voltage V). This is not difficult for linear sensors where the relationship between V and N is known, but it can be complex for HDR (High Dynamic Range) sensors. Calculation details can be found in "Image Information Metrics and Applications: Reference," linked from www.imatest.com/solutions/image-information-metrics.

Consistency of Cmax

We performed a set of analyses with a range of exposures (indicated by V_{mean}). The results showed that C_{max} was highly consistent with exposure for the raw \rightarrow TIFF images (which were not bilateral-filtered), but less consistent with the bilateral-filtered (JPEG) images. C_4 varied as expected.

 C_{max} may need to be adjusted if the image is incapable of spanning the entire range of Digital Numbers (DNs), for example, 0-255 for images with bit depth = 8. Information capacity measurements fail if local tone mapping has been applied.



C4 and Cmax for 10 MP compact camera

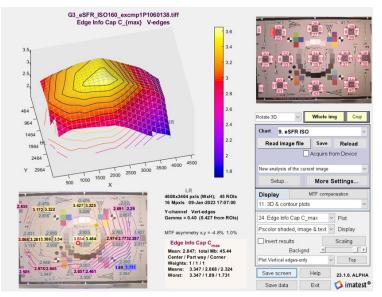
Total information capacity

Thus far, we have presented information capacity C in bits per pixel. The total information capacity, C_{total} , for the entire image takes variations in C over the image into account.

To obtain C_{total} and the mean of C_{max} for auto-detected slanted-edge modules, <u>SFRplus</u>, <u>eSFR ISO</u>, or <u>Checkerboard</u>, select **3D & contour plots**, then select **Edge info Cap C_max** (on the right of the Rescharts window).

 $C_{total} = mean(C) \times megapixels.$

The mean information capacity C_{max} is 2.847 bits/pixel. Since the camera has 16 Megapixels, total capacity $C_{maxTotal}$ for the Luminance (Y) channel = 45.44 MB.



3D plot of information Capacity C_{max} over the image surface

Signal averaging

Signal averaging is a well-known technique that can improve the accuracy and consistency for measurements of noisy images for both calculation methods.

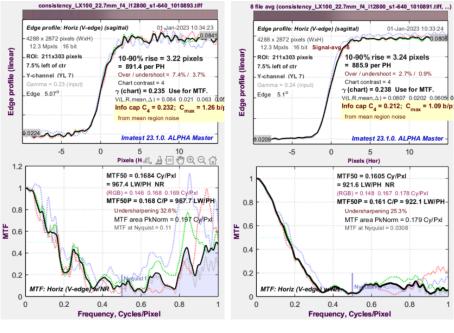
Extremely noisy images, typically acquired in dim light or at high Exposure Indices, may result in inaccurate measurements of *MTF* and *C*. Signal averaging, where *n* identical captures of the same image are averaged, is a classic technique for obtaining better measurement consistency by reducing the effect of uncorrelated noise. When *n* images are averaged, SNR increases by \sqrt{n} : by 3dB whenever *n* is

doubled. To obtain correct information capacity measurements when the signal is averaged, the noise power is multiplied by n.

This effect is illustrated below for a camera with a one-inch sensor, which was imperfectly focused, at ISO 12800. A single image is shown on the left. Note that MTF is rough and has significant high frequency noise bumps. For the average of 8 images is shown on the right, information capacity C is slightly reduced because MTF is better behaved, i.e., there is less spurious high frequency response.

nsistency_LX100_22.7mm_f4_i12800_s1-640_1010893.tiff





Single image



Some key results of the Edge Variance method

We tested three cameras that produced both raw and JPEG output for information capacity C as a function of Exposure Index (ISO speed setting).

Cameras used in the tests

1.	Panasonic	2.14 μm pixel pitch. An older (2010) compact 10.1-megapixel camera with a Leica f/2 zoom
	Lumix LX5	lens set to f/4.
2.	Sony A6000	3.88 μm pixel pitch. A 24-megapixel micro four-thirds camera with a 60mm Canon macro
		lens set to f/8
3.	Sony A7Rii	4.5 μm pixel pitch. A 42-megapixel full-frame camera with a Backside-Illuminated (BSI)
		sensor and a 90mm f/2.8 Sony macro lens set to f/8

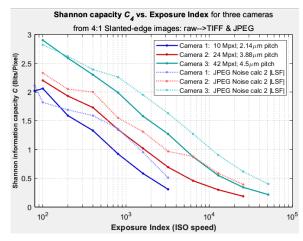
We captured both JPEG and raw images, which were converted to 24-bit sRGB (encoding gamma $\approx 1/2.2$) TIFF images (designated as raw \rightarrow TIFF) with LibRaw, with minimal processing (defined as no sharpening, no noise reduction, and a simple gamma-encoding function).

The figures below show results for the luminance (Y = $0.2125 \cdot R + 0.7154 \cdot G + 0.0721 \cdot B$) channel as a function of ISO speed (Exposure Index) for the raw \rightarrow TIFF images (solid lines) and JPEG images (dotted lines). For the raw \rightarrow TIFF images the relationship between ISO speed and *C* is similar for all three cameras.

C₄ 4:1 slanted edge

The information capacity for 4:1 contrast edges, C_4 , shows similar trends to C_{max} , but since the relatively low 4:1 contrast uses only a fraction of the available signal level, C_4 is lower than C_{max} . It is also highly sensitive to exposure.

 C_{max} showed similar trends, but results were about 2 bits/pixel higher.



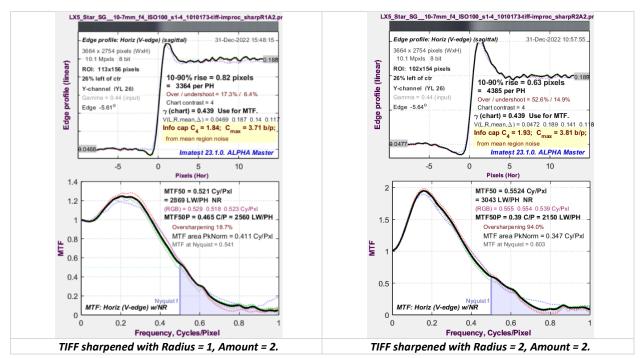
Sharpening

Simple sharpening, which has the same effect on the

C4 as a function of Exposure Index (EI) for TIFF and JPEG images

signal and noise response, and therefore does not change S(f)/N(f), would not be expected to have a strong effect on C. This is indeed the case.

The two examples below show that (uniform) USM sharpening has little effect on slanted-edge information capacity. The two images (originally a minimally processed TIFF) have been strongly USM sharpened in the <u>Imatest Image Processing</u> module with Radii = 1 and 2 and Amount = 2. The original unsharpened TIFF has C_4 = 2.06 and C_{max} = 3.82 b/p.



This highlights another benefit of information capacity measurements. Unlike MTF50, they do not reward excessive sharpening, which creates "halos" near edges, making the image look sharp in small displays, but creating artifacts that degrade image appearance on large displays [7]. They also have a bad reputation for machine vision applications.

Edge location variance (or standard deviation)

An additional result can be derived from the Edge Variance method: **The edge location variance (or standard deviation)**, σ^2 (Location) or σ (Location).

Edges are important because they are often required to distinguish an object. For example, the only way to distinguish a gray vehicle from a gray concrete background is with the edges.

For signal voltage V(x), the edge is defined as the location x where the Line Spread Function LSF(x) = dV(x)/dx (in units of 1/pixels) has its peak value. The standard deviation of the edge location is

 $\sigma(\text{Location}) = \frac{\text{maximum } \sigma_x(x)}{\text{maximum } dV(x)/dx} \cong \frac{\sigma_x(x) \text{ at peak } LSF(x)}{\text{peak } LSF(x)} \quad \text{in units of pixels}$

The actual location of an edge is affected by interference from neighboring edges (mostly the closest edge) as well as σ (Location). When edges are close together (small *w*), interference causes edge amplitudes decrease, which increases sigma, and it also causes edge locations to shift. Displays of *LSF* amplitude and shift versus spacing are shown in the section on Line Spread Function (LSF) doublet results, below. σ (Location) is not a major metric. *SNRi* and *Edge SNRi* are more useful.

Summary of the Edge Variance method

- The Edge Variance method has a limited set of results.
 - Information capacities C_4 and C_{max} and σ (Location),
 - A plot of spatially dependent noise power $\sigma_s^2(x)$ or amplitude $\sigma_s(x)$, which can be useful for determining if the image has been bilateral-filtered.
- Produces a useful approximate measurement of *C* for bilateral-filtered images, but more accurate results are obtained from uniformly/minimally processed images, which should *always* be used when a camera is being evaluated for use in MV/AI systems.

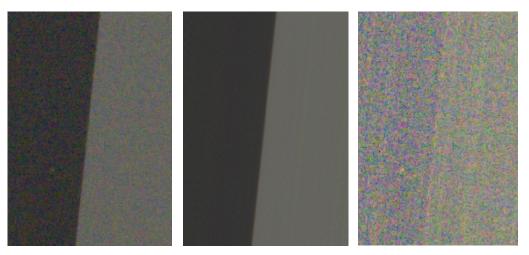
The Noise Image method of calculating information capacity-related metrics

The Noise Image method is the second of two methods for calculating information capacity, along with a rich set of related metrics.

This method involves inverting the ISO 12233 binning procedure. Noting that the 4× oversampled edge was created by interleaving the contents of 4 bins, each of which contains an averaged (noise-reduced) signal derived from the original image, we apply an inverse of the binning algorithm to set the contents of each scan line to its corresponding interleave (**Inverse binned... ROI**, <u>below</u>). Since the inverse-binned image is a nearly noiseless replica of the original image, we can create a noise image by subtracting it

from the original image (which must be corrected for illumination nonuniformity in the direction of the edge).

The three images are shown below. The noise image (below-right), which has a mean value of 0, has been lightened and contrast-boosted for display. The other images are displayed with gamma-correction.



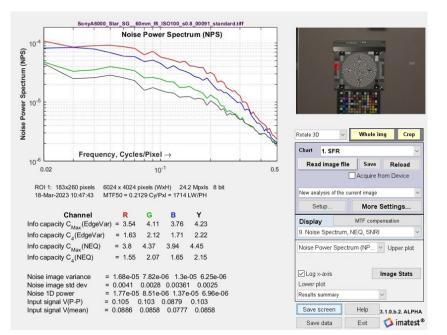
(1) Original ROI

(2) Inverse-binned / (3) N de-interleaved / reverse-projected

(3) Noise image ROI

This technique allows several additional image quality parameters to be calculated, including an alternative information capacity measurement, C_{NEQ} , derived from NEQ.

Here is an example of results, with Noise Power Spectrum (NPS) displayed on the top and Results summary displayed on the bottom.

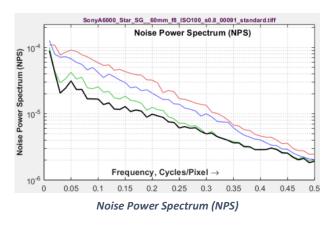


Noise Power Spectrum (NPS) displayed on the top and Results summary on the bottom

Noise Voltage or Power Spectrum (NPS)

NPS can be displayed with a logarithmic x-axis (above) or a linear x-axis (on the right; selectable by the Log x-axis checkbox, above). The Noise Power and Voltage Spectrum plots have the same shape: only the y-axis labels are different.

The 1D Noise Power or Voltage spectrum is derived from a 2D Fourier transform (FFT) of the noise image. The initial 2D FFT has zero frequency at the image center. The image is divided into several annular regions, and the



average noise power is found for each region. NPS is used for the NEQ and SNRi calculations.

Noise Equivalent Quanta (NEQ)

NEQ is a figure of merit used in medical imaging [5], but is unfamiliar in general imaging. It is described in a 2016 paper by Brian Keelan. Essentially, it is a frequency-dependent Signal-to-Noise (power) Ratio, in contrast to *MTF*, which is signal amplitude response-only.

Units are the equivalent number of detected quanta that would generate the measured SNR when photon shot noise is dominant.

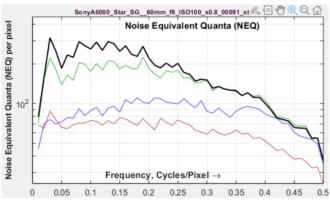
$$NEQ(f) = \frac{\mu^2 MTF^2(f)}{NPS(f)}$$

where the mean linear signal, μ , can be defined in either of two ways, depending on how *NEQ* is to be interpreted.

In the standard definition of *NEQ*, where *NPS* is dominated by photon shot noise, $\mu^2 = V_{mean}^2 = \bar{q}^2$, where \bar{q} is the mean count of the detected quanta, and *NEQ* is proportional to the count of detected quanta, \bar{q} .

NEQ can be used for calculating Detective Quantum Efficiency), $DQE(f) = NEQ(f)/\bar{q}_i$, where \bar{q}_i is the mean number of quanta incident on each pixel. It is not yet in **Imatest**.

The NEQ plot can be made smoother and more consistent using <u>Signal Averaging</u>.



Noise Equivalent Quanta (NEQ)

Information capacity from NEQ, C_{NEQ}

A variant of *NEQ*, *NEQ*_{info}(*f*) (not plotted), calculated using $\mu = V_{P-P}/\sqrt{12}$ (to be consistent with the Edge Variance calculation), is used to calculate information capacity, C_{NEQ} .

$$C_{NEQ} = \int_0^W \log_2(1 + NEQ_{info}(f)) \, df = \int_0^{0.5} \log_2\left(1 + \frac{\mu^2 MTF^2(f)}{NPS(f)}\right) df$$

where bandwidth $W = f_{Nyq} = 0.5$ Cycles/Pixel.

The key results, $C_4(NEQ)$ and $C_{max}(NEQ)$, are slightly different from the Edge Variance results, most likely because the calculated Noise Power Spectrum, NPS(f), is used. (The Edge Variance calculation assumes constant NPS, i.e., white noise).

Channel	R	G	в	Y			
Info capacity C _{Max} (EdgeVar)	= 3.5	4 4.11	3.76	4.23			
Info capacity C ₄ (EdgeVar)	= 1.6	3 2.12	1.71	2.22			
Info capacity C _{Max} (NEQ)	= 3.8	4.37	3.94	4.45			
Info capacity C ₄ (NEQ)	= 1.5	5 2.07	1.65	2.15			
Noise image std dev = Noise 1D power = Input signal V(P-P) =	0.0041	0.0028 05 8.51e- 0.103	0.0036	e-05 6.96e-06 0.103			
Results summary							

Ideal Observer SNR (SNRi)

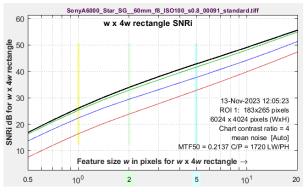
SNRi is a measure of the detectability of objects, described in <u>ICRU Report 54</u> [<u>14</u>] and in papers by Paul Kane [<u>12</u>] and Orit Skorka and Paul Kane [<u>13</u>].

$$SNRi^{2} = \iint \left(\frac{\left| G(v_{x}, v_{y}) \right|^{2} MTF^{2}(v_{x}, v_{y})}{NPS(v_{x}, v_{y})} \right) dv_{x} dv_{y}$$

 $G(v_x, v_y)$ is the Fourier transform of the rectangular object to be detected, defined below. *v* is spatial frequency in Cycles/Pixel.

Using Parseval's theorem, we can show that *SNRI*² is equivalent to the total (integrated) Signal/Noise energy of the object in the spatial domain.

The objects to be detected are typically rectangles of dimensions $w \times kw$, where k = 1for a square or 4 for a 1:4 aspect ratio rectangle. Amplitude, V_{P-P} , is typically obtained from a chart



SNRi for sharp, low-noise (ISO 100) image

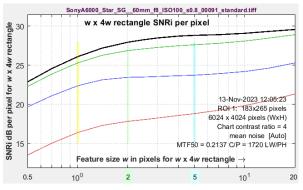
with a 4:1 contrast ratio. *SNRi* is displayed for each color channel for *w* from 0.5 to 20.

Note that like *C*₄, *SNRi* is strongly affected by exposure and chart contrast. But unlike *C*, <u>*SNRi* is affected</u> by image signal processing (sharpening, etc.).

We currently prefer a closely related measurement, *Edge SNRi*, for determining the performance of pre-filtering (ISP performed prior to Object Recognition/Machine Vision/AI).

SNRi displayed in dB per pixel²

Because standard *SNRi* plots can be difficult to read, we have added a plot of *SNRi* in dB per pixel², shown on the right. It is somewhat easier to read than the standard *SNRi* image, but it is more of a *relative* measurement— useful for evaluating changes from image processing.



SNRI in dB per pixel2 for low-noise (ISO 100) image

N. Koren

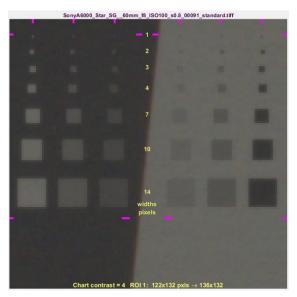
Tip— Click on Data cursor in the dropdown below the thumbnail on the upper right to get a reading of the actual value.

Object visibility

The goal of *SNRi* measurements is to predict object visibility for small, low contrast squares or 4:1 rectangles. The *SNRi* prediction begs for visual confirmation.

We have developed a display for *Imatest* that does this with real slanted-edge images.

We show two images, below, from a camera with a Micro Four-Thirds sensor. The sides of the squares are w = 1, 2, 3, 4, 7, 10, 14, and 20 pixels. The original chart has a 4:1 contrast ratio (light/dark = 4). The middle and inner squares have reduced contrast. The outer patches correspond to the *SNRi* curves, where, according to the <u>Rose model</u> [8], *SNRi* of 5 (14 dB) should correspond to the threshold of visibility. low noise ISO 100 (left); noisy ISO 12800 (right)



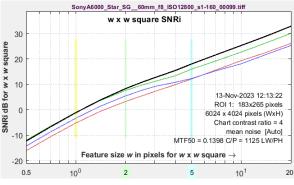


60mm f8 ISO12800 s1-160 00099.tiff

Low noise ISO 100 (left) MTF50 = 0.214 c/p; C_{max} = 4.24 b/p;

The *SNRi* curve on the right is for the noisy ISO 12800 image on the right, above. The w = 1 squares are invisible; the w = 2 and 3 squares are only marginally visible, and w = 4 squares are clearly visible. In the plot, the Y (luminance) channel *SNRi* at w = 2 is 9 dB; it reaches 11 dB for w = 3; close to the expectation that the threshold of visibility is around 14 dB.

Noisy ISO 12800 (right) MTF50 = 0.140 c/p; C_{max} = 1.37 b/p.



SNRi for noisy ISO 12800 image (above, right)

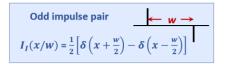
Edge Signal-to-Noise Ratio (Edge SNRi)

Edge SNRi is an edge-based measure of the detectability of the edges of small objects, similar to *SNRi*, described <u>above</u>.

$$Edge SNRi^{2} = \iint \left(\frac{\left|H(v_{x}, v_{y})\right|^{2} MTF^{2}(v_{x}, v_{y})}{NPS(v_{x}, v_{y})}\right) dv_{x} dv_{y}$$

 $H(v_x v_y)$ is the Fourier transform of the *edges* (the gradient) of the object to be detected.

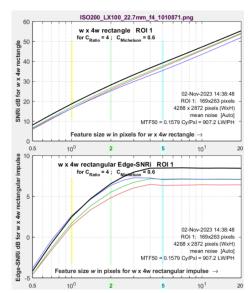
For a rectangle of dimensions $w \times kw$, the function is the derivative, h(x, y), of the rectangle, g(x, y), that describes the object, equivalent to a pairs of Dirac delta functions of opposite polarity.



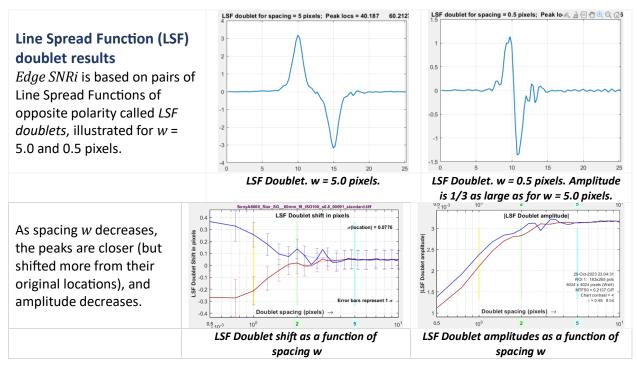
Edge SNRi is displayed for each color channel for *w* = 0.5, 0.7, 1, 1.4, 2, 3, 4, 7, 10, 14, 20.

Unlike C, Edge SNRi is affected by signal processing

(sharpening, etc.), making it useful for evaluating pre-filtering (ISP filtering applied prior to the machine learning/Al blocks). *Edge SNRi* has become our favorite metric for feature detection.



SNRI curve (Upper), Edge SNRi curve (lower



Summary of the Noise Image method

- The Noise Image method uses a 2D image of the noise to calculate several image quality metrics.
- It only gives reliable results with uniformly or minimally processed images, which can be distinguished from bilateral-filtered images by the absence of a peak in $\sigma_s^2(x)$ or $\sigma_s(x)$ displays. It should not be used with bilateral-filtered images.
- It produces a rich set of related results, including Noise Power Spectrum (*NPS*), Ideal observer SNR (*SNRi*), *Edge SNRi*, Noise Equivalent Quanta (*NEQ*), and a second information capacity measurement, derived from *NEQ*, that can be compared with the Edge Variance results (they are slightly more accurate because *NPS*(*f*) is not assumed to be constant).

Image Signal Processing (ISP)

Several recent papers [16],[17],[18] state that appropriate image processing prior to Object Recognition, Machine Vision, or AI algorithms may improve system performance (accuracy, speed, and power consumption). Since information capacity is relatively independent of Image Signal Processing, it provides little guidance about how to design optimal image processing.

Image signal processing algorithms can be designed to optimize a specific task, for example, the detection of an object of a specific size, often a small rectangle, or its edges. In practice, ISP needs to perform well over a range of tasks: detecting objects of edges greater than a minimum size and limiting interference from neighboring objects.

<u>SNRi</u> has some drawbacks as an object detection metric. Plots of SNRi are challenging to interpret because SNRi increases with feature size. And there is the problem of object color. What If the object has

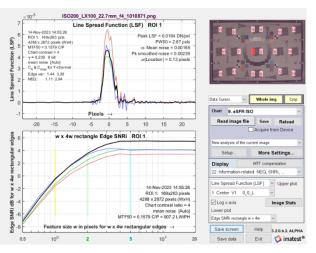
the same color as the background (e.g., gray cars in front of gray concrete)? In such cases it is the *edge* that matters. Because of these shortcomings, we prefer *Edge SNRi*.

Pre-filtering: effects of ISP filtering

Starting with an unsharpened image, we applied sharpening and/or lowpass filtering (blurring) using the *Imatest* Image Processing module.

The Line Spread Function and *Edge SNRi* for a $w \times 4w$ rectangle are shown below. We selected smoothed peak noise for the calculations.

The key results (*Edge SNRI* and *SNRi* in dB per pixel²) for a $w \times 4w$ object are shown in the Table.



Results with no filtering. LSF (top), Edge SNRi (bottom)

Filter	MTF50 C/P	Edge SNRi w = 1	<i>Edge SNRi</i> large <i>w</i>	<i>SNRi</i> dB/pxl ² w = 1	<i>SNRi</i> dB/pxl ² w = 5	C _{max} (NEQ)	σ(loc.) pixels
None	0.158	-0.52	5.49	21.7	28.3	2.94	0.13
USM R2A3	0.294	-1.5	4.7	20.7	26.5	2.73	0.219

USM R2A3 +	0.243	6.1	9.8	24.7	30.0	3.44	0.105
σ = 1 Gaussian LPF							
σ = 1 Gaussian LPF	0.122	1.56	8.5	24.9	33.7	2.72	0.89
USM R2A5 (extreme oversharpening)	0.357	-6.8	-1.1	14.7	20.1	2.02	0.26

Good news! Edge SNRi (9.8 dB for large w; 6.1 at w = 1) was better for the USM + Gaussian lowpass filter than either the unfiltered or USM-only filtered image. This is an extremely significant result. It shows that correctly chosen filtering can improve the performance of a key task (edge detection) before the image is sent to the Object Recognition/Machine Vision/AI processing block.

This important result shows that filtering can improve object detection, indicating that it may be able to improve Object Recognition, Machine Vision, and Artificial Intelligence system performance.

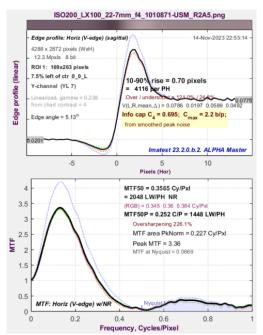
Edge SNRi appears to be slightly more sensitive than *SNRi* dB per pixel² (showing greater differences for different filtering). Sharpening + lowpass filtering gives the best result. Results are well-correlated with edge location noise, σ (location).

The USM R2A5 image, whose Edge/MTF plot is shown on the right, is *extremely* oversharpened. It included because we often see comparable oversharpening, and we do our best to discourage it: it is a cheap way of improving *MTF50* measurements and image appearance on tiny displays (phones), but it creates "halos" (peaks near edges) that degrade appearance in large displays, along with every other performance metric. The poor *Edge SNRi* and other results are additional reasons to avoid this type of image processing, which we have described in [7].

Matched filter

In the above section, we discussed a applying a filter $\mathcal{F}(f)$ to optimize either *SNRi* or *Edge SNRi*.

An optimum filter can be determined if a task (for example, detecting an edge of a certain size, with no interference from nearby edges) is defined. Such a filter is called a *matched* filter, $\mathcal{F}_{matched}(f)$. Because real-world cameras must perform a multitude of tasks, exact matched filters are rarely practical. They are discussed in more detail in



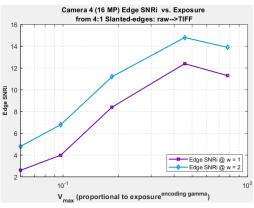
Edge/MTF plot for <u>extremely</u> oversharpened image. MTF50 correlates poorly with performance.

"Image Information Metrics and Applications: Reference," linked from www.imatest.com/solutions/image-information-metrics.

We were fortunate that the filter parameters we found by trial-and-error were reasonably close to the matched filter calculated from the image properties (sharpness and noise).

Exposure sensitivity

Like C_4 , SNRi and Edge SNRi vary with exposure. The plot on the right shows Edge SNRi vs. exposure for the same camera data used to plot C_4 and C_{max} vs. exposure, above. Because there is no consistent relationship between exposure and noise in HDR sensors, we will need a **Standard exposure** for comparing cameras (and it will need to be in the nascent <u>ISO 23654</u> standard). For images encoded with $gamma \approx 0.454 = 1/2.2$ (sRGB, etc.), $V_{max} \approx 0.5$ is reasonable. For linear (gamma = 1) images, the equivalent exposure results in $V_{max} = 0.5^{2.2} = 0.22$ (where V_{max} is normalized to a maximum of 1).



Edge SNRi vs. maximum ROI pixel level V_{max} for w = 1 and 2.

Summary

We have developed a powerful toolkit of new measurements — Figures of Merit for imaging systems that combine sharpness and noise — that are especially applicable to Object Recognition, Machine Vision, and Artificial Intelligence systems. The key measurement is *information capacity*, which can be used to predict camera performance for MV/AI systems. We have additional metrics related to specific tasks, most importantly object and edge detection, and are potentially useful for designing ISP filters that optimize OR/MV/AI system performance.



Using *Edge SNRi*, which is closely related to the more traditional object-based *SNRi*, we have shown an example of image processing (sharpening + lowpass filtering) that improves object detection and is likely to improve MV/AI system performance. This needs to be tested.

In <u>Appendix 4</u>, we show that Information capacity *C* has a monotonic relationship with key metrics for object and edge detection, i.e., increasing *C_{NEQ}* increases *SNRi* and *Edge SNRi*. This does not hold for standard sharpness metrics based on *MTF*-only.

This relationship holds because the Fourier transforms of the objects to be detected are independent of C_{NEQ} .

In other words, object and edge detection performance are functions of information capacity.

As we become more familiar with information capacity and determine the requirements for effectively performing tasks, we should be able to select cameras with the minimum number of pixels to meet the spec, resulting in faster calculations, lower power consumption, and reduced cost.

The new measurements are easy to obtain from any of *Imatest's* slanted-edge analyses.

The key takeaway from this document is that

Information capacity *C* is a key measurement for predicting Object Recognition/Machine Vision/Artificial Intelligence system performance.

Several additional metrics based on *C*, most importantly *Edge SNRi* (for edge detection) can be used to design filters to optimize OR/MV/AI system performance.

Standard MTF measurements are insufficient for this purpose.

To do — Better understand the numeric results for SNRi and Edge SNRi.

Partner with researchers in industry and academia to determine the correlation between information capacity and related metrics and MV/AI system performance.

Continue working on the new **ISO 23654** standard for camera information capacity.

Find better ways of characterizing information capacity in High Dynamic Range (HDR) sensors, where noise is not a simple function of signal.

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Appendix I. Information theory background

Because concepts of information theory are unfamiliar to most imaging engineers, we present a brief introduction. To learn more, we recommend a text such as "<u>Information Theory— A Tutorial</u> <u>Introduction</u>" by James V Stone, available on <u>Amazon</u>. Shannon's classic 1948 and 1949 papers [<u>1</u>],[<u>2</u>] are highly readable.

What is information?

Information is a measure of the resolution of uncertainty. The classic example is a coin flip. For a "fair" coin, which has a probability of 0.5 for either a head or tail outcome (which we can designate 1 or 0), the result of such a flip contains one bit of information. Two coin flips have four possible outcomes (00, 01, 10,11); three coin flips have eight possible outcomes, etc. The number of information bits is log₂(the number of outcomes), which is the number of flips.

Now, suppose you have a weirdly warped coin that has a probability of 0.99 for a head (1) and 0.01 for a tail (0). Little information is gained from the results of a flip. The equation for the information in a trial with *m* outcomes, where $p(x_i)$ is the probability of outcome *i* and $\sum_{i=1}^{m} p(x_i) = 1$, is

$$H = \sum_{i=1}^{m} p(x_i) \log_2 \frac{1}{p(x_i)}$$

H is called "entropy", and is often used interchangeably with "information". It has units of bits (binary digits). Note that this definition is subtly different from the physical memory element called a "bit."

For the fair coin, where $p(x_1) = p(x_2) = 0.5$, H = 1 bit. But for the warped coin, where $p(x_1) = 0.95$ and $p(x_2) = 0.05$, H = 0.286 bits. If the results of the warped coin toss were transmitted without coding, each symbol would contain 0.0286 information bits. That would be extremely inefficient.

Claude Shannon was one of the genuine geniuses of the twentieth century— renowned among electronics engineers, but little known to the general public. The medium.com article, <u>11 Life Lessons From History's</u> <u>Most Underrated Genius</u>, is a great read. (Perhaps Shannon is considered "underrated" because history's most famous genius lived in the same town.) There are also nice articles in <u>The New Yorker</u> and <u>Scientific</u> <u>American</u>. And IEEE has an <u>article connecting Shannon with the</u> <u>development of Machine Learning and Al</u>. The 29-minute video <u>"Claude</u> <u>Shannon – Father of the Information Age"</u> is of particular interest to the



Claude Shannon

author of this report because it was produced by the <u>UCSD Center for Memory and Recording Research</u>, which I visited frequently in my previous career.

Channel capacity

Shannon and his colleagues developed two theorems that form the basis of information theory.

The first, Shannon's source coding theorem, states that for any message there exists an encoding of symbols such that each channel input of D binary digits can convey, on average, close to D bits of information without error. For the above example, it implies that a code can be devised that can convey close to 1 information bit for each channel bit—a huge improvement over the uncoded value of 0.286.

The second, known as the Shannon-Hartley theorem, states that the <u>channel capacity</u>, C, i.e., the theoretical upper bound on the <u>information rate</u> of data that can be communicated at an arbitrarily low <u>error rate</u> through an analog communication channel with bandwidth W, average received signal power, S, and <u>additive Gaussian noise</u> power, N, is

$$C = W \log_2\left(1 + \frac{S}{N}\right) = \int_0^W \log_2\left(1 + \frac{S(f)}{N(f)}\right) df$$

This equation is challenging to use because bandwidth W is not well-defined, noise is not white, and it applies to one-dimensional systems, whereas imaging systems have *two* dimensions. Slanted-edge analysis is one-dimensional. We have developed methods for calculating C for both the Siemens star and slanted edge test patterns.

At this point we can hazard a guess as to why camera information capacity has been ignored for cameras. For most of its history the hot topic in information theory was the development of efficient codes, which didn't approach the Shannon limit until the 1990s—nearly fifty years after Shannon's original publication. But channel coding is not a part of image capture (though coding is important for image and video compression). Also, camera information capacity was not critically important when the primary consumers of digital images were humans (though it is related to perceived image quality), but that is changing rapidly with the development of new AI and machine vision systems. And finally, there were no convenient methods of measuring it. (Rodney Shaw's heroic efforts with film in the early 1960s are very impressive [9].)

Appendix 2. Obtaining Results with Imatest

Information capacity (C_4 and C_{max}) and related measurements can be calculated from any of **Imatest's** ISO 12233-based slanted-edge modules. If you are a beginner with Imatest, we recommend <u>Using</u> Imatest – Getting started.

Some of the newer methods in this white paper are available (as of November 2023) in the *Imatest 24.1* <u>Pilot Program</u>. *Imatest 24.1* will be released in spring, 2024.

Here are some recommended links for slanted-edge modules (from the documentation page, <u>www.imatest.com/docs</u>).

SFR (manual ROIs), SFRplus, eSFR ISO, SFRreg, Checkerboard (auto ROI detection)

Detailed instructions for information capacity and related calculations are on

Image information metrics from Slanted edges: Equations and Algorithms

Image information metrics from Slanted edges: Instructions

The test chart edge contrast should be between 2:1 and 10:1, with 4:1 (the ISO 12233 e-SFR standard [4]) strongly recommended.

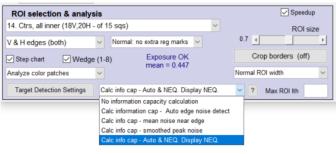
General good technique is recommended for acquiring images:

- Lighting should be uniform and glare-free;
- The image should be well-exposed. Avoid saturation (clipping or operating in response regions with strong nonlinearities— either highlights or shadows). For consistency in comparing cameras, <u>standard exposure</u> is recommended.
- Use sturdy camera support,
- ROIs should be reasonably large: at least 30x60 pixels is recommended. More are better.
- For evaluating cameras for use in Object Recognition or Machine Vision systems, we recommend minimally or uniformly processed images: avoid bilinear filtering (commonly found in JPEGs from consumer cameras) if possible. This can be done by starting with raw files, then converting them with <u>LibRaw</u> (for commercial files) or <u>Read Raw</u> (for custom binary files). Tone mapping (locally adaptive image processing) should also be avoided.

Setting Channel capacity calculations,

Make the selection in the **Setup** or **More settings** window,

- The first selection turns off all information capacity calculations. This is the default at the time of the 23.1 release. We may change it.
- The remaining selections determine what gets displayed in the Edge and MTF and Edge & Info capacity noise plots.



Information capacity settings

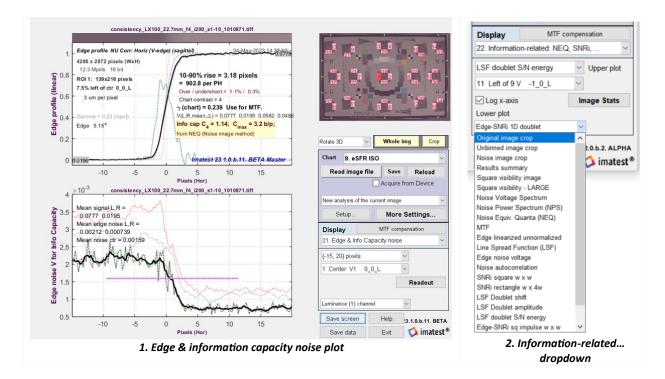
Information capacity is displayed on the upper (edge) plot of the standard Edge and MTF display. Two selections have been added to the **Display** dropdown menu.

1. Edge & Info Capacity noise

Information capacity is displayed next to the Edge (upper) plot. Below, left.

2. Information-related: NEQ, SNRi, ...

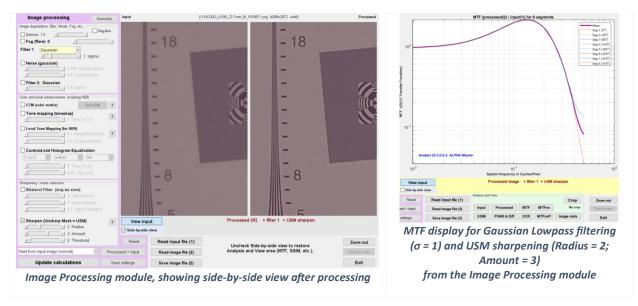
A large dropdown menu (above, right) allows any two of a large number of selections to be displayed: one on top and one on the bottom. Below, right.



Appendix 3. Filtering images with the *Imatest* Image Processing module

The *Imatest* Image Processing module (instructions on <u>www.imatest.com/ docs/image-processing/</u>) includes typical camera degradation and enhancement functions. We used just two of the functions for the filtering in this document: Gaussian filtering and USM (Unsharp Mask) sharpening.

The effects of filtering are visible when you check **Side-by-side view** and crop the image. Until this is done, the whole image (input or output) is displayed. To see the MTF of the filter (below right), uncheck **Side-by-side view** and press **MTF**.



Appendix 4. Correlation between information capacity and object/edge detection metrics

In this section we show how information capacity correlates with the key metrics for object and edge detection, *SNRi* and *Edge SNRi*, which should be predictors of MV/AI system performance.

We start with the integral form of the <u>Shannon-Hartley equation from Wikipedia</u>, derived in Shannon's second paper [2].

Frequency-dependent (colored noise) case [edit]

In the simple version above, the signal and noise are fully uncorrelated, in which case S + N is the total power of the received signal and noise together. A generalization of the above equation for the case where the additive noise is not white (or that the S/N is not constant with frequency over the bandwidth) is obtained by treating the channel as many narrow, independent Gaussian channels in parallel:

$$C = \int_0^B \log_2 igg(1 + rac{S(f)}{N(f)}igg) df$$

We define K(f) = S(f)/N(f) as the *kernel* of the information capacity equation.

Relating Wikipedia's nomenclature to ours, N(f) = NPS(f) is the Noise Power Spectrum, and $S(f) = S_{avg}(f) = (k MTF(f))^2 = (V_{p-p} MTF(f))^2/12$ is the signal power for calculating *C*.

To clarify the correlation between the metrics, it is useful to express *SNRi* and *Edge SNRi*, in one dimension, *SNRi*² or *Edge SNRi*² = $\int \left(\frac{|P_{obj}(f)|^2 V_{P-P}^2 MTF^2(f)}{NPS(f)}\right) df = \int |P_{obj}(f)|^2 K(f) df$

where $P_{obj}(f) = G_{rect}(f) = kw \frac{\sin(\pi w f)}{\pi w f}$ for $SNRI^2$, or $P_{obj}(f) = H_{impulse}(f) = 2\pi f G_{rect}(f) = 2\sin(\pi w f)$ for $Edge SNRi^2$.

Grouping the equations for NEQ, C_{NEQ} , SNRi, and Edge SNRi, expressed as functions of K(f), reveals something important.

$$NEQ(f) = \frac{\mu^2 MTF^2(f)}{NPS(f)} \approx \mu^2 K(f)$$
$$C_{NEQ} = \int_0^W \log_2(1 + NEQ_{info}(f)) \, df = \int_0^{0.5} \log_2(1 + \mu^2 K(f)) \, df$$
$$SNRi^2 = \int |G_{rect}(f)|^2 K(f) \, df \, ; \quad Edge \, SNRi^2 \, = \, \int |H_{impulse}(f)|^2 K(f) \, df$$

NEQ(f), C_{NEQ} , and detection metrics *SNRi* and *Edge SNRi* have a monotonic relationship with each other, based on K(f), i.e., they all increase or decrease with K(f).

Effects of filtering — Because uniform processing — sharpening or lowpass filtering — does not affect the $MTF^2(f)/NPS(f)$ ratio or K(f), it does not affect NEQ(f) or C_{NEQ} , as expected from the <u>data</u> processing inequality It does, however, affect $SNRi^2$ and $Edge SNRi^2$, which have an additional $|P_{obj}(f)|^2$ term inside the integral, and can be improved with <u>appropriate filtering</u>.